# EECS C145B / BioE C165: Image Processing and Reconstruction Tomography

#### Lecture 5

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#### Reading

• Gonzalez and Woods pp. 147-187, pp. 208-213.

# ${\bf Optional\ advanced\ reading}$

• Jain pp. 132-150.

#### Topics to be covered

- 1. Definition of several important 2D functions
- 2. Important 2D Fourier transform pairs
- 3. Some 2D Fourier transform properties and theorems
- 4. Separability of the n-D Fourier transform
- 5. Sampling in 2D
- 6. Aliasing in 2D

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## Definition of important 2D functions

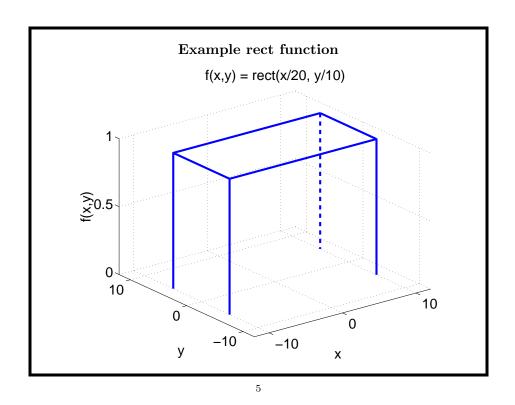
Recall for 1D:

$$rect\left(\frac{x}{X}\right) = \begin{cases} 1, & |x| < X/2\\ 0, & |x| > X/2 \end{cases}$$

In 2D we have:

$$\operatorname{rect}\left(\frac{x}{X}, \frac{y}{Y}\right) \triangleq \operatorname{rect}\left(\frac{x}{X}\right) \operatorname{rect}\left(\frac{y}{Y}\right)$$

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# Definition of important 2D functions: Rectangular function

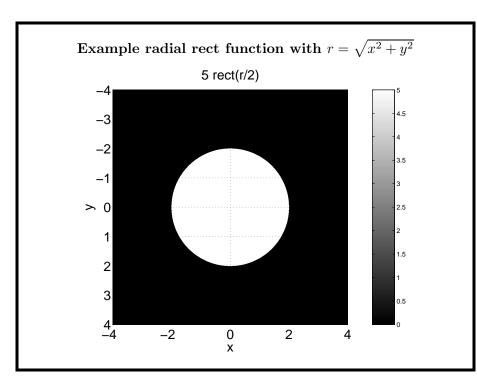
A radial rectangular function in 2D is defined as:

$$\operatorname{rect}\left(\frac{r}{R}\right) \triangleq \left\{ \begin{array}{ll} 1, & |r| < R \\ 0, & |r| > R \end{array} \right.$$

where:

$$r = \sqrt{x^2 + y^2}$$

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# Definition of important 2D functions: Sinc function

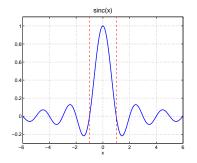
Recall in 1D:

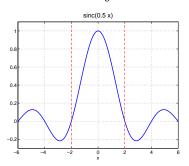
$$\operatorname{sinc}(x) \triangleq \frac{\sin(\pi x)}{\pi x}$$

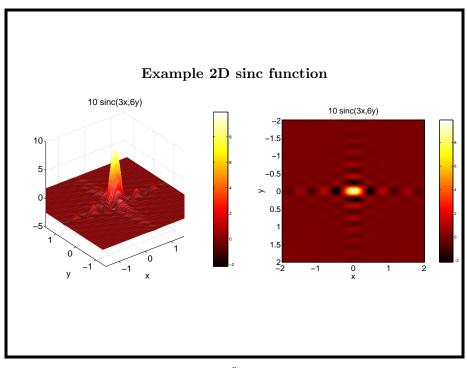
A special property of the 1D sinc function is that it contains all frequencies equally up to a cutoff.

In 2D:

$$\operatorname{sinc}(x,y) \triangleq \operatorname{sinc}(x)\operatorname{sinc}(y) = \frac{\sin(\pi x)}{\pi x} \frac{\sin(\pi y)}{\pi y}$$







Definition of important 2D functions: Jinc function

The jinc function is defined as:

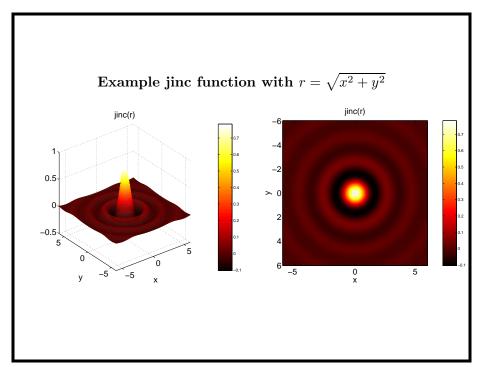
$$\operatorname{jinc}(r) \triangleq \frac{J_1(\pi x)}{2x}$$

where  $J_1$  is a Bessel function of the first kind.

- A special property of the 2D jinc function is that it contains all 2D frequencies equally up to a cutoff.
- As we will see later, the jinc function is the Fourier transform of the radial rect function.

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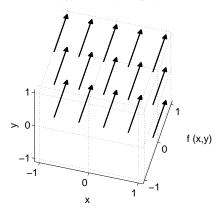


# Comb of impulses

A comb of impulses is a periodic train of impulses:

$$comb(x/X, y/Y) \triangleq \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta(x - kX, y - lY)$$

f(x,y) = comb(x/0.5, y/1)



#### Useful Fourier transform pairs

Space domain	Frequency domain
$\delta(x,y)$	1
$\delta(x-x_0,y-y_0)$	$e^{\pm j2\pi x_0 u}e^{\pm j2\pi y_0 v}$
$\operatorname{rect}\left(\frac{x}{A}, \frac{y}{B}\right)$	$AB\operatorname{sinc}(Au, Bv) = AB\frac{\sin(\pi uA)}{\pi uA}\frac{\sin(\pi vB)}{\pi vB}$
$rect(r/R), r = \sqrt{x^2 + y^2}$	$R \operatorname{jinc}(R\rho),  \rho = \sqrt{u^2 + v^2}$
comb(x/X, y/Y)	$XY \operatorname{comb}(uX, vY)$
$\cos(2\pi(u_o x + v_0 y))$	$\frac{1}{2}(\delta(u+u_0,v+v_0)+\delta(u-u_0,v-v_0))$
$\sin(2\pi(u_o x + v_0 y))$	$j\frac{1}{2}(\delta(u+u_0,v+v_0)-\delta(u-u_0,v-v_0))$
$e^{-\pi(x^2+y^2)}$	$e^{-\pi(u^2+v^2)}$

#### Dual Fourier transform pairs

Space domain	Frequency domain
1	$\delta(u,v)$
$e^{\mp j2\pi u_o x}e^{\mp j2\pi v_0 y}$	$\delta(u-u_0,v-v_0)$
$AB\operatorname{sinc}(Ax, By)$	$\operatorname{rect}\left(\frac{u}{A}, \frac{v}{B}\right)$
$R \operatorname{jinc}(rR), r = \sqrt{x^2 + y^2}$	$rect(\rho/R),  \rho = \sqrt{u^2 + v^2}$
UV  comb(Ux, Vy)	comb(u/U, v/V)

Can we derive expressions for Fourier transform pairs for the DFT? Explain.

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# Some important Fourier transform properties

Spectrum	$ F(u,v)  = \sqrt{R(u,v)^2 + I(u,v)^2}$
(magnitude)	R(u, v): real part of $F(u, v)$
	I(u, v): imaginary part of $F(u, v)$
Phase	$\phi(u, v) = \arctan\left(\frac{I(u, v)}{R(u, v)}\right)$
Conjugate symmetry	$F(u,v) = F^*(-u,-v)$
	F(u,v)  =  F(-u,-v)
Duality	If $g(x,y) \rightleftharpoons f(u,v)$
	then $f(x,y) \rightleftharpoons g(-u,-v)$
Translation	$f(x \pm x_0, y \pm y_0) \rightleftharpoons F(u, v) e^{\pm j2\pi(ux_0 + vy_0)}$
	$f(x,y)e^{\mp j2\pi(u_0x+v_0y)} \rightleftharpoons F(u\pm u_0, v\pm v_0)$

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#### Translation property in space

Notice that a translation of f(x, y) changes only the phase of F(u, v):

$$f(x \pm x_0, y \pm y_0) \rightleftharpoons F(u, v) e^{\pm j2\pi(ux_0 + vy_0)}$$

The magnitude spectrum is invariant under translations of the image.

$$\left| F(u,v) e^{\pm j2\pi(ux_0+vy_0)} \right| 
= \sqrt{F(u,v)e^{\pm j2\pi(ux_0+vy_0)} \times F^*(u,v)e^{\mp j2\pi(ux_0+vy_0)}} = |F(u,v)|$$

Can a linear shift invariant system move an image f(x,y) around real space?

Can it move its transform F(u, v) around frequency space?

#### Translation property in frequency

A translation in frequency is equivalent to a modulation of the space signal by a complex exponential:

$$f(x,y) e^{\mp j2\pi(u_0x+v_0y)} \rightleftharpoons F(u\pm u_0, v\pm v_0)$$

We can get some intuition for this by expanding the complex exponential:

$$f(x,y) e^{\mp j2\pi(u_0x+v_0y)}$$
  
=  $f(x,y) \Big[ \cos(2\pi(u_0x+v_0y) \mp j\sin(2\pi(u_0x+v_0y)) \Big]$ 

Now we examine what happens to a single sinusoid within f(x, y) when we perform a translation of its FT. For simplicity we choose the cosine function:

$$g(x,y) = \cos(2\pi(2x+2y))$$

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#### Translation property in frequency

As expected, the frequency of the cosine is changed by the translation in the Fourier domain. The function g'(x, y) is a complex function, since its FT G'(u, v) is not conjugate symmetric.

An expression for g'(x, y) can also be obtained using the well-known multiplication formulae:

$$\sin(\alpha)\cos(\beta) = \frac{1}{2} \left[ \sin(\alpha - \beta) + \sin(\alpha + \beta) \right]$$
  

$$\sin(\alpha)\sin(\beta) = \frac{1}{2} \left[ \cos(\alpha - \beta) - \cos(\alpha + \beta) \right]$$
  

$$\cos(\alpha)\cos(\beta) = \frac{1}{2} \left[ \cos(\alpha - \beta) + \cos(\alpha + \beta) \right]$$

and Euler's identity:

$$e^{\pm j\theta} = \cos(\theta) \pm j\sin(\theta)$$

#### Translation property in frequency

This function has the FT:

$$G(u,v) = \frac{1}{2} [\delta(u+2,v+2) + \delta(u-2,v-2)]$$

We decide to translate G(u, v) by  $u_0 = 1$  and  $v_0 = -3$ :

$$G'(u,v) = G(u-1,v+2) = \frac{1}{2} [\delta(u+1,v-1) + \delta(u-3,v-5)].$$

Now we see what happens at the other end of the transform pair:

$$g'(x,y) = \cos(2\pi(2x+2y)) e^{\jmath 2\pi(-1x+(-3)y)}$$

$$= \frac{1}{2} \left[ e^{\jmath 2\pi(2x+2y)} + e^{-\jmath 2\pi(2x+2y)} \right] e^{\jmath 2\pi(-1x-3y)}$$

$$= \frac{1}{2} \left[ e^{\jmath 2\pi(x-y)} + e^{-\jmath 2\pi(3x+5y)} \right]$$

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#### Rotation property

$$x = r\cos(\theta)$$
  $u = \rho\cos(\phi)$   
 $y = r\sin(\theta)$   $v = \rho\sin(\phi)$   
 $f(r, \theta + \theta_0) \leftrightharpoons F(\rho, \phi + \theta_0)$ 

This tells us that a rotation of a 2D function by  $\theta_0$  will rotate the Fourier transform by **the same angle and in the same direction** (i.e., clockwise or counterclockwise).

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#### Convolution theorem

$$f(x,y) * h(x,y) \implies F(u,v)H(u,v)$$
  
 $f(x,y)h(x,y) \implies F(u,v) * H(u,v)$ 

- The convolution theorem tells us that the output of a linear shift invariant system can be computed via multiplication of the transforms of the point-spread function and the input image. Taking the inverse transform of the result gives the output of the system.
- The dual transform pair (sometimes called the multiplication property) tells us that if we multiply two images, their Fourier transforms are convolved with each other.

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#### Separability property for DFT

The separability property applies also to the DFT. Consider the M column  $\times N$  row image f[m, n]:

$$DFT_{2}\{f[m,n]\} = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} f[m,n] e^{-\jmath 2\pi (km/M + ln/N)}$$

$$= \sum_{m=0}^{M-1} e^{-\jmath 2\pi km/M} \sum_{n=0}^{N-1} f[m,n] e^{-\jmath 2\pi ln/N}$$

$$= \sum_{m=0}^{M-1} e^{-\jmath 2\pi km/M} F[m,l]$$

$$= F[k,l]$$

$$= DFT_{1}^{\text{columns}} \{DFT_{1}^{\text{rows}} \{f[m,n]\}\}$$

It is easy to show that the separability property extends to any dimension.

#### Separability property

This extremely important property allows us to calculate Fourier transforms of **any dimension** using the one dimensional transform.

$$\mathcal{F}_{2}\left\{f(x,y)\right\} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x,y) e^{-\jmath 2\pi(ux+vy)} dx dy$$

$$= \int_{-\infty}^{\infty} e^{-\jmath 2\pi ux} \int_{-\infty}^{\infty} f(x,y) e^{-\jmath 2\pi vy} dy dx$$

$$= \int_{-\infty}^{\infty} e^{-\jmath 2\pi ux} F(x,v) dx$$

$$= F(u,v)$$

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#### Recipe for 2D DFT

- 1. Transform the M rows using an N point 1D DFT engine.
- 2. Transform the N columns of the result using an M point 1D DFT engine.

#### Recipe for 3D DFT

Consider an image of dimension  $n_1 \times n_2 \times n_3$ .

- 1. Transform the  $n_1 \times n_2$  vectors parallel to the  $n_3$  axis using an  $n_3$  point transform.
- 2. Transform the  $n_2 \times n_3$  vectors parallel to the  $n_1$  axis of the result of (1) using an  $n_1$  point transform.
- 3. Transform the  $n_1 \times n_3$  vectors parallel to the  $n_2$  axis of the result of (2) using an  $n_2$  point transform.

Extension to higher dimensions is straightforward.

#### Sampling in 2D

- Imagine we are in a plane over the Golden Gate bridge and we take a photo.
- Our digital camera is set to sample the scene at  $N \times M = 1280 \times 960$  pixels.
- Let us denote the scene as f(x, y) and define the width of the CCD to be 1 unit. The height is then 0.75 units.
- We assume that the pixels on the CCD are square.
- $\bullet$  The sampling frequency in the x direction is:

 $u_s = 1280 \text{ samples/1 distance unit}$ 

In the y direction it is:

 $v_s = 960 \text{ samples}/0.75 \text{ distance units}$ 

= 1280 samples per distance unit =  $u_s$ 

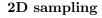
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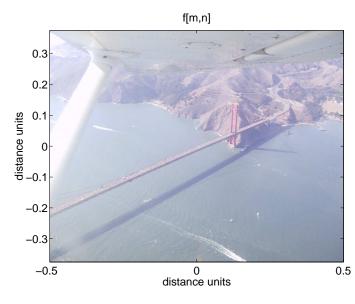
# 2D sampling

- The sampling theorem tells us that the highest frequency that will be present in our digital image will be:
- The sampling period is  $1/u_s = 1/v_s = 1/1280$  distance units.
- Our sampled image is given by:

$$f_s(x,y) = f(x,y) \times \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta(x - k/1280, y - l/1280)$$

- The image  $f_s(x, y)$  on the CCD is captured by an analog to digital converter, and becomes a 2D array of numbers f[m, n].
- The scene f(x, y) has a Fourier transform F(u, v). What is the highest frequency present in F(u, v)?
- What is the FT of  $f_s(x,y)$ ?





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#### Sampling in 2D

• Taking the FT of  $f_s(x, y)$  and using the multiplication property of the FT we get:

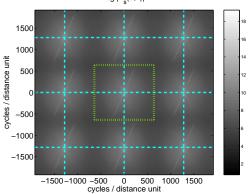
$$F_s(u, v) = F(u, v) * \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta(u - 1280k, y - 1280l)$$

So,  $F_s(u, v)$  is just F(u, v) rubber-stamped all over the u-v plane at intervals of 1280 cycles/distance unit, the sampling frequency.

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#### 2D sampling

The 9 periods of the log magnitude spectrum of  $F_s(u,v)$  nearest the origin are:  $\log |F_s(u,v)|$ 



The "rubber-stamp" is enclosed in a dotted line. The dashed lines mark the multiples of the sampling frequency that occur within the area plotted. The center of the stamp has been applied at the intersections of these lines.

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#### 2D sampling

- Now what happens if we take the DFT of the 2D array f[m, n]?
- Taking the DFT is equivalent to sampling the spectrum  $F_s(u,v)$  at a spacing of  $u_s/N=1280/1280=1$  cycle/distance unit in the u-direction and  $v_s/M=1280/960=4/3$  cycles/distance unit in the v-direction.
- This can be expressed as:

$$F[k,l] = F_s(u,v) \times \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta(u - ku_s/N, v - lv_s/M)$$

• Taking the inverse FT gives:

$$f_p(x,y) = f_s(x,y) * \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} \delta(x - nN/u_s, y - mM/v_s)$$

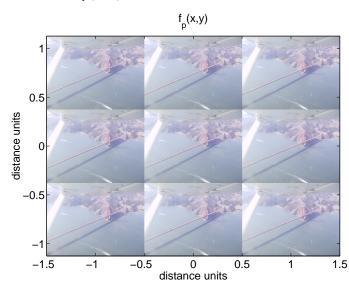
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#### Sampling in 2D

- Thus, the function that the DFT will really give us the spectrum of is not  $f_s(x,y)$  or f(x,y), but  $f_p(x,y)$ , which is **periodic.**
- It repeats every  $N/u_s = 1$  distance unit along the x-axis and every  $M/v_s = 960/1280 = 0.75$  distance units along the y-axis.

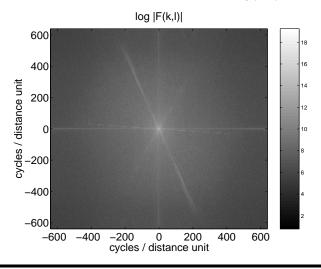
# 2D sampling

The 9 periods of  $f_p(x,y)$  nearest to the origin are:



#### 2D sampling

• When we apply the 2D DFT to the array of numbers f[m, n], we get an array of the same size F[k, l]. We must always remember that this is actually the first period of the transform of  $f_p(x, y)$ .



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#### Windowing in 2D

- We can minimize the contribution of edge effects to the DFT of an image my multiplying the image by a window function.
- A 2D window can be made from a 1D window by taking the outer product of two 1D window vectors.
- Let  $\mathbf{w}_N$  represent an N point 1D window vector.
- Let  $\mathbf{w}_M$  represent an M point 1D window vector.
- If our image is N columns  $\times$  M rows, then the 2D window will be given by:

$$\mathbf{W}_{N\times M} = \mathbf{w}_M \mathbf{w}_N^T$$

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#### Axes of the 2D DFT

- We always need to put meaningful axes of images of the DFT.
- The frequencies corresponding to each sample along the k axis begin at  $-u_s/2=-640$  and increase in steps of  $u_s/N=1280/1280=1$  cycle/distance unit until  $(u_s/2-u_s/N)=639$  cycles / distance unit, is reached.
- For the the l axis, the corresponding frequencies begin at  $-v_s/2=-640$  and increase in steps of  $v_s/M=1280/960=4/3$  cycles/distance unit until  $(v_s/2-v_s/M)=1280/2-1280/960=638\frac{2}{3}$  cycles / distance unit, is reached.

NOTE: When we are examining plots of the DFT, we will always assume that the DFT has been shifted so that zero frequency is at the center.

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#### Windowing in 2D

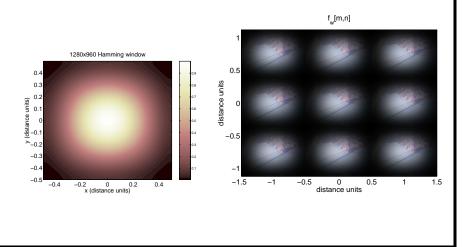
• For example, a  $2 \times 3$  Hamming window is formed as follows:

$$\mathbf{W}_{2\times3} = \begin{bmatrix} 0.08 \\ 1 \\ 0.08 \end{bmatrix} \begin{bmatrix} 0.08 & 0.08 \end{bmatrix} = \begin{bmatrix} 0.0064 & 0.0064 \\ 0.0800 & 0.0800 \\ 0.0064 & 0.0064 \end{bmatrix}$$

Note: Not all 2D windows can be created from 1D windows.

#### Windowing in 2D

The 2D Hamming window  $\mathbf{W}_{1280\times960}$  is applied to a periodically extended version of f[m, n]:



Windowing in 2D

Right: Spectrum after Hamming window applied.

Note: The wideband vertical and horizontal stripes in the DFT are attenuated. These are directly related to the vertical and horizontal interperiod discontinuities, respectively. The oblique wideband spectral feature (due mainly to the bridge) is retained.

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#### Maximum sampling rate in an image with square pixels

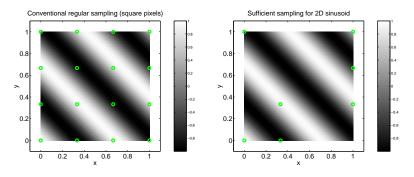
- When we sample a signal, the sampling theorem tells us we must sample at a rate higher than twice the highest frequency component present in the signal.
- Thus, we need to sample the highest frequency sinusoid slightly more than twice per period.

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# 1D signals sampled slightly above Nyquist rate $\begin{array}{c} 1 \\ 0.5 \\ -0.5 \\ -1 \\ 0.5 \\ \end{array}$ $\begin{array}{c} 0.5 \\ -0.5 \\ -1 \\ \end{array}$ $\begin{array}{c} 0.5 \\ -0.5 \\ -1 \\ \end{array}$ $\begin{array}{c} 0.5 \\ -0.5 \\ \end{array}$ $\begin{array}{c} 0.5 \\ -0.5 \\ \end{array}$ $\begin{array}{c} 0.5 \\ -0.5 \\ \end{array}$ In all 4 cases, the signal is sampled slightly more than twice per period.

#### Maximum sampling rate in an image with square pixels

In 2D, we must also sample the highest frequency component more than twice per period. We have a lot more flexibility though:



Because a 2D sinusoid does not vary along lines perpendicular to the direction it is traveling, we may sample it **anywhere** along such a line. The sampling on the right is just as good as that on the left, for this sinusoid.

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#### Maximum sampling rate in an image with square pixels

Example: Below we have a  $32 \times 32$  pixel image. Defining an image side as equal to 1 distance unit, we have sampling frequencies of  $u_s = v_s = 32$  cycles / distance unit. The image contains:

$$\cos(2\pi 13(-x+y))$$

which has a frequency of magnitude:

$$\rho_{\text{max}} = \sqrt{13^2 + 13^2} = 13\sqrt{2} \approx 18.3848$$

Note that this frequency is **higher than**  $u_s/2$  **and**  $v_s/2$ . However, since it is less than  $\rho_{\text{max}} = 16\sqrt{2}$ , and travels along the major diagonal, it is properly sampled in a  $32 \times 32$  image

#### Maximum sampling rate in an image with square pixels

- As a consequence, the maximum frequencies present in an image sampled using square pixels occur along the diagonals.
- A digital camera is thus able to capture the largest amount of fine detail along the diagonals.
- If an image contains N=1280 columns and M=980 rows, and we define the width of the image to be 1 distance unit, then the highest frequency present in the image is:

 $\rho_{\text{max}} = \sqrt{(u_s/2)^2 + (v_s/2)^2} = \sqrt{640^2 + 480^2} = 800$  cycles / distance unit and the highest frequency sinusoids that can be sampled are:

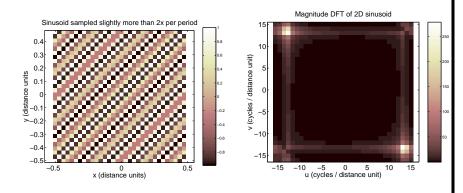
$$\cos(2\pi\rho\left(x\pm y\right)+\phi)$$

where  $\rho < \rho_{\text{max}} = 800$  cycles / distance unit.

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#### Maximum sampling rate in an image with square pixels

The image is shown along with its magnitude DFT. Note the spectral peaks near (u, v) = (-13, 13) and (13, -13).



Why are the peaks not pure Kroneker delta functions?

#### Aliasing in 2D

- Aliasing occurs when an image is sampled at a frequency under twice that of the highest frequency component present.
- Aliasing is explained completely by the relationship between the sampling equation:

$$f_s(x,y) = f(x,y) \times \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta(x - k/u_s, y - l/v_s)$$

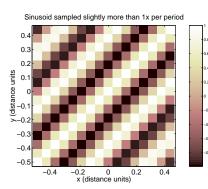
and its transform:

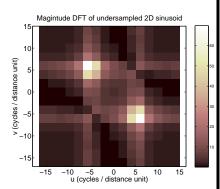
$$F_s(u,v) = F(u,v) * \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta(u - ku_s, y - lv_s)$$

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# 2D aliasing example

• Below is the downsampled image and its magnitude spectrum:





• We can clearly see that the frequency of the bands in the image is lower than that of the original image.

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• Is aliasing a linear or a non-linear effect?

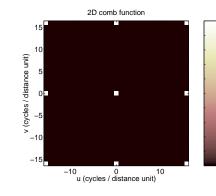
#### 2D aliasing example

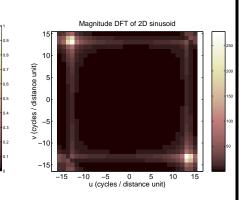
- We begin with the "high frequency" image used in the previous example that had a single  $\rho=13\sqrt{2}$  cycles / distance unit component.
- We downsample the image by taking every second sample along both axes.
- The new 16 × 16 image can contain components with a maximum frequency of  $\rho < \rho_{\text{max}} = 8\sqrt{2}$ .
- Because  $13\sqrt{2} \ge 8\sqrt{2}$ , we expect the high frequency component to be aliased and appear as a lower frequency component.
- A sinusoid with horizontal frequency  $u_0$  and vertical frequency  $v_0$  will appear (if aliased) to have a horizontal and vertical frequencies of  $(u_s u_0)$  and  $(v_s v_0)$ , respectively.

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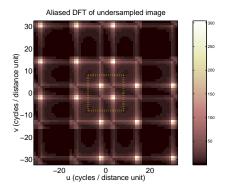
#### 2D aliasing example

• How do we get a better understanding of what has happened? We will execute the instructions given by the FT of the sampling equation i.e., stamp the spectrum of the original image throughout frequency space by convolving the original spectrum with the comb function shown below:





# 2D aliasing example



- $\bullet$  The dotted lines enclose the 1st period of the spectrum of the  $16\times16$  downsampled image.
- This is the same spectrum that we found by DFTing the downsampled image in the previous slide.
- Conclusion: Aliasing is a predictable artifact of undersampling.